

ZOE LOFGREN, CALIFORNIA  
CHAIR  
BEN CHANDLER, KENTUCKY  
G. K. BUTTERFIELD, NORTH CAROLINA  
KATHY CASTOR, FLORIDA  
PETER WELCH, VERMONT  
DANIEL J. TAYLOR,  
COUNSEL TO THE CHAIR  
R. BLAKE CHISAM,  
CHIEF COUNSEL AND STAFF DIRECTOR

ONE HUNDRED ELEVENTH CONGRESS  
**U.S. House of Representatives**  
COMMITTEE ON STANDARDS OF  
OFFICIAL CONDUCT  
**Washington, DC 20515-6328**

JO BONNER, ALABAMA  
RANKING REPUBLICAN MEMBER  
K. MICHAEL CONAWAY, TEXAS  
CHARLES W. DENT, PENNSYLVANIA  
GREGG HARPER, MISSISSIPPI  
MICHAEL T. McCAUL, TEXAS  
KELLE A. STRICKLAND,  
COUNSEL TO THE RANKING  
REPUBLICAN MEMBER  
SUITE HT-2, THE CAPITOL  
(202) 225-7103

November 18, 2010

The Honorable Robert Brady  
Chairman  
Committee on House Administration  
1309 Longworth House Office Building  
Washington, DC 20515

COMMITTEE  
HOUSE ADMINISTRATION  
2010 NOV 19 AM 10:10

Dear Mr. Chairman:

In accordance with the regulations of the Committee on House Administration, I am submitting herewith three copies of the monthly report for October including:

1. Summary of Progress and projected programs;
2. Statements of Expenses under H.R. 279B (111<sup>th</sup> Congress, 2<sup>nd</sup> Session);
3. A listing of Committee employees showing addresses, duties, and gross monthly salaries; and
4. A report on travel.

This letter certifies that copies of this report are available to each Member of the Committee on Standards of Official Conduct.

Sincerely,



Zoe Lofgren  
Chair

Enclosures

## COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

### October 2010

The Committee continued to fulfill its responsibilities to advise and educate Members and staff on the laws, rules, and standards of official conduct. (An enumeration of those laws and rules is found in H. Rep. 110-938, *Summary of Activities, One Hundred Tenth Congress, A Report of the Committee on Standards of Official Conduct*, 110<sup>th</sup> Cong., 2d Sess. (Jan. 3, 2009).) As a general matter, the Committee's activities in receiving and responding to requests for advice from House Members and staff are conducted on a confidential basis. The Committee received 19 requests for advisory opinions in October of 2010.

In accordance with the Rules of the House of Representatives of the 111<sup>th</sup> Congress, the Committee continued to review and approve requests to accept privately sponsored, officially connected travel. The Committee received 98 such requests in October of 2010.

In addition, the Committee is required under the House rules to offer annual ethics training to each Member, Delegate, Resident Commissioner, officer, and employee of the House. Such training is mandatory for all House officers and employees, and new officers or employees must receive training not later than 60 days after beginning service to the House. The Committee continued to provide such training in October of 2010. The Committee provided training sessions attended by 105 individuals in October of 2010. The Committee also continued to provide training online, through a number of training videos. Additional information about the calendar of upcoming in-person training sessions and online training opportunities is available on the Committee's Web site, at <http://ethics.house.gov/>.

The Committee continued to fulfill its investigatory responsibilities. On October 7, 2010, the Chair released the following statement regarding ongoing matters within the Committee's investigatory jurisdiction:

Under Rule 23(c) of the Committee on Standards of Official Conduct, the adjudicatory subcommittees in the matters of Representative Charles B. Rangel and Representative Maxine Waters will hold adjudicatory hearings to determine whether any counts in the Statement of Alleged Violation regarding either Representative Rangel or Representative Waters have been proven by clear and convincing evidence.

The Committee's rules vest the Chair with the authority to set the schedule for hearings, but at the request of minority members of the Committee I had agreed to work together to establish schedules. To that end, we had numerous bipartisan meetings, discussions, and exchanges to address procedural issues and to reach consensus on how and when to proceed in each matter.

Last week's unprecedented statement by the minority members of the Committee, in contrast to their prior requests and ongoing discussions, called upon the Chair to unilaterally establish the schedule, as the Committee rules allow. Accordingly, as Chair of each adjudicatory subcommittee, with this statement I am announcing the schedule for each hearing, and notifying Representatives Rangel and Waters and their respective counsels of the schedules and other procedural issues.

Pursuant to Rule 5(e) and Rule 23(e) of the Committee on Standards of Official Conduct and Clause 2(g)(3) of House Rule XI, the Chair of the adjudicatory subcommittee is required to make a public announcement in advance of an adjudicatory hearing. Accordingly, notice is hereby provided that the adjudicatory hearing in the matter of Representative Rangel will begin on Monday, November 15, 2010, at 9:00 a.m. The adjudicatory hearing in the matter of Representative Waters will begin on Monday, November 29, 2010, at 9:00 a.m.

Substantial actions must be taken before a public hearing can begin. The nonpartisan Committee staff who bear the burden of proof to establish the facts alleged in the Statement of Alleged Violation must prepare their case and be prepared to meet a higher burden of proof than that used in the investigative subcommittee phase.

Evidence to be used at the hearing must be shared between lawyers for the committee and the respondent Member of Congress. Any objections raised by either party should be resolved, as well as any other procedural or evidentiary issues, before the hearing. Committee rules also require that subpoenas issued to witnesses must be served sufficiently in advance of the hearing to allow witnesses reasonable time to prepare for the hearing and retain counsel, if they choose.

A quorum is required for an ASC to conduct any business. Each ASC has eight members, and at least six members must be present for the ASC to conduct business under its rules. Six members of each ASC also sit on the ASCs in both matters, which precludes the possibility of holding the hearings simultaneously.

The adjudicatory subcommittee process is not complete upon the conclusion of an adjudicatory hearing. After the hearing, the members of the ASC must conduct deliberations, vote on each count alleged in the SAV, and send a report of findings to the full Committee.

The full Committee must then hold a public sanctions hearing, if any violation is found, vote on a sanction recommendation, and then write and transmit a report to the full House. Under its rules, the Committee's report to the House is the first public statement regarding the ultimate findings of the ASC process.

Other materials the Committee has previously publicly released in these matters, including the Statements of Alleged Violation and related motions and replies, are available on the Committee's web site, at <http://ethics.house.gov>.

###

## Committee on Standards of Official Conduct

H. Res. Resolution: 279B

Statement of expenses from 10/1/2010 to 10/31/2010

Whole Committee - Funding Limit

Report Done: Thursday, November 18, 2010

<b>Category</b>	<b>October 2010</b>	<b>Cumulative For Funding Resolution: 279B</b>	<b>10/31/2010</b>
Staff Salaries	\$216,288.39	\$2,160,689.44	
Overtime	\$176.68	\$4,531.19	
Consultant Contracts	\$0.00	\$14,650.00	
Equipment	(\$775.00)	\$6,213.65	
Travel	\$8,593.41	\$24,009.94	
Rent, Communications and Utilities	\$2,641.68	\$24,354.02	
Printing and Reproduction	\$0.00	\$188.80	
Miscellaneous Other Services	\$1,837.83	\$16,900.04	
Specialized Training	\$0.00	\$0.00	
Training Expenses	\$0.00	\$1,895.00	
Representational Funds	\$0.00	\$0.00	
Supplies and Materials	\$4,051.72	\$48,960.66	
<b>Grand Total</b>	<b>\$232,814.71</b>	<b>\$2,302,392.74</b>	

United States House of Representatives  
Committee on Standards of Official Conduct  
Fund Balance Statement as of 10/31/2010  
All BOC codes

Resolution: 279B

Report Done: 11/18/2010

Whole Committee - Funding Limit

Less Expenses

Total Authorization \$3,441,922.00

<u>Year</u>	<u>Month</u>	
2010	January	\$210,652.85
2010	February	\$231,251.72
2010	March	\$235,497.76
2010	April	\$230,607.78
2010	May	\$231,433.11
2010	June	\$225,560.24
2010	July	\$237,437.01
2010	August	\$230,639.97
2010	September	\$236,497.59
2010	October	\$232,814.71
Total Committee Expenses to Date		\$2,302,392.74
Unexpended Authorization		\$1,139,529.26

# Committee on Standards of Official Conduct

H. Res. Resolution: 279BF

Statement of expenses from 10/1/2010 to 10/31/2010

Whole Committee - Funding Limit

Report Done: Thursday, November 18, 2010

<b>Category</b>	<b>October 2010</b>	<b>Cumulative For Funding Resolution: 279BF</b>	<b>10/31/2010</b>
Staff Salaries	\$0.00	\$0.00	\$0.00
Overtime	\$0.00	\$0.00	\$0.00
Consultant Contracts	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Rent, Communications and Utilities	\$98.98	\$772.79	\$772.79
Printing and Reproduction	\$0.00	\$0.00	\$0.00
Miscellaneous Other Services	\$0.00	\$0.00	\$0.00
Specialized Training	\$0.00	\$0.00	\$0.00
Training Expenses	\$0.00	\$0.00	\$0.00
Representational Funds	\$0.00	\$0.00	\$0.00
Supplies and Materials	\$0.00	\$0.00	\$0.00
<b>Grand Total</b>	<b>\$98.98</b>	<b>\$772.79</b>	

United States House of Representatives  
Committee on Standards of Official Conduct  
Fund Balance Statement as of 10/31/2010  
All BOC codes

Resolution: 279BF

Report Done: 11/18/2010

Whole Committee - Funding Limit

Less Expenses

Total Authorization \$5,000.00

Year	Month	
2010	January	\$19.60
2010	February	\$22.76
2010	March	\$26.09
2010	April	\$9.41
2010	May	\$121.35
2010	June	\$70.73
2010	July	\$153.30
2010	August	\$137.39
2010	September	\$113.18
2010	October	\$98.98
Total Committee Expenses to Date		\$772.79
Unexpended Authorization		\$4,227.21

Travel Performed: Committee on Standards of Official Conduct

9/24/2010 to 10/13/2010

Travel Order	Traveler	Begin	End	Purpose	Pay #	Auto	Transportation	Per Diem	Other	Total	Remarks
111b-019	R. Blake Chisam	9/27/2010	9/27/2010	Official Travel - New York	111B-059	9/28/2010	\$462.40	\$0.00	\$503.35	\$965.75	
111B-020	Deborah Morris	9/27/2010	9/27/2010	Official Travel - New York	111B-063	9/29/2010	\$406.00	\$0.00	\$525.01	\$931.01	
111B-021	Donald Sherman	8/14/2010	8/14/2010	Official Travel - New York	111B-065	10/5/2010	\$0.00	\$0.00	\$35.30	\$35.30	
111B-022	Donald Sherman	9/8/2010	9/11/2010	Official Travel - New York	111B-066	10/5/2010	\$70.08	\$0.00	\$954.88	\$1,024.96	
111B-023	Donald Sherman	9/19/2010	9/19/2010	Official Travel - New York	111B-068	10/6/2010	\$441.70	\$0.00	\$436.34	\$878.04	
111B-024	Donald Sherman	9/26/2010	9/26/2010	Official Travel - New York	111B-069	10/6/2010	\$508.68	\$0.00	\$82.22	\$590.90	
111B-025	Donald Sherman	10/5/2010	10/6/2010	Official Travel - New York	111B-070	10/7/2010	\$526.06	\$0.00	\$142.16	\$668.22	
111B-026	Richard Chisam	10/5/2010	10/6/2010	Official Travel - New York	111B-071	10/7/2010	\$499.50	\$0.00	\$586.70	\$1,086.20	
111B-027	Deborah Morris	10/5/2010	10/6/2010	Official Travel - New York	111B-072	10/7/2010	\$428.00	\$0.00	\$532.65	\$960.65	
111B-028	Richard Chisam	10/5/2010	10/8/2010	Official Travel - New York	111B-073	10/12/2010	\$417.46	\$0.00	\$497.23	\$914.69	
111B-030	Donald Sherman	10/7/2010	10/8/2010	Official Travel - New York	111B-075	10/12/2010	\$492.90	\$0.00	\$25.87	\$518.77	
<b>Totals</b>							\$4,252.78	\$0.00	\$4,321.71	\$8,574.49	