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GREGG HARPER, MISSISSIPPI  
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ONE HUNDRED ELEVENTH CONGRESS  
**U.S. House of Representatives**  
COMMITTEE ON STANDARDS OF  
OFFICIAL CONDUCT  
Washington, DC 20515-6328

January 13, 2009

The Honorable Robert Brady  
Chairman  
Committee on House Administration  
1309 Longworth House Office Building  
Washington, DC 20515

Dear Mr. Chairman:

In accordance with the regulations of the Committee on House Administration, I am submitting herewith three copies of the monthly report for December including:

1. Summary of Progress and projected programs;
2. Statement of Expense under H.R. 279 (111<sup>th</sup> Congress, 1st Session); and
3. A listing of Committee employees showing addresses, duties, and gross monthly salaries.

This letter certifies that copies of this report are available to each Member of the Committee on Standards of Official Conduct.

Sincerely,



Zoe Lofgren  
Chair

Enclosures

2009 JAN 13 PM 12:15  
COMMITTEE  
HOUSE ADMINISTRATION

## **COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT**

**December 2009**

The Committee continued to fulfill its responsibilities to advise and educate Members and staff on the laws, rules and standards of official conduct. (An enumeration of those laws and rules is found in H. Rep. 110-938, *Summary of Activities, One Hundred Tenth Congress, A Report of the Committee on Standards of Official Conduct*, 110<sup>th</sup> Cong., 2d Sess. (Jan. 3, 2009).) As a general matter, the Committee's activities in receiving and responding to requests for advice from House Members and staff are conducted on a confidential basis. The Committee received 36 requests for advisory opinions in December of 2009.

The Committee also issued two pink sheets regarding gifts. First, in conjunction with the holiday season the Committee issued a pink sheet reminding Members and staff of ethics rules that they should remember during the holidays and summarizing those rules. Like all other pink sheets issued by the Committee, "Holiday Guidance on the Gift Rule" is available on the Committee's Web site, at <http://ethics.house.gov/>.

Second, the Committee announced a simplified procedure for Members and staff to request a waiver of the gift rule in connection with certain special or unusual occasions. As a general matter, Members, officers, and employees may not accept a gift unless its acceptance is permitted by the House gift rule. In certain circumstances where no provision of the gift rule permits the acceptance of a particular gift, the Committee has the flexibility under House rules to grant a waiver to permit acceptance of a gift in "an unusual case." The Committee has historically granted waivers of the gift rule under this provision for Members and staff who sought a waiver in connection with a special or unusual occasion such as a wedding or engagement, the birth or adoption of a child, or a death in the family. In the past, individuals sought such a waiver by sending a letter to the Committee providing the details of their request, and have received a letter in response from the Chair and Ranking Member of the Committee. To simplify and expedite this process, the Committee has created a one-page form that can be submitted to the Committee to seek a waiver in these limited situations.

In addition, Members and certain staff who file financial disclosure statements may be required to report gifts received pursuant to such a waiver on their annual financial disclosure statements. However, federal law allows them to request a waiver of the reporting requirement for such gifts, which if granted is itself subject to public disclosure. The Committee has also created a one-page form to simply and expedite this process. Both forms are available on the Committee's Web site.

In accordance with the Rules of the House of Representatives of the 111<sup>th</sup> Congress, the Committee continued to review and approve privately sponsored, officially connected travel. The Committee received 122 such requests in December of 2009.

In addition, the Committee is required under the House rules to offer annual ethics training to each Member, Delegate, Resident Commissioner, officer, and employee of the House. Such training is mandatory for all House officers and employees, and new officers or employees must receive training not later than 60 days after beginning service to the House. The Committee continued to provide such training in December of 2009. The Committee provided training sessions attended by 263 individuals in December of 2009.

The Committee also released a pink sheet announcing a change in the annual training requirement certification process. As noted above, under House rules all House employees must complete ethics training every calendar year. Each employee must also individually certify to the Committee that he or she has completed such training. In past years, the Committee's policy has been to also require each employing authority – including Members, officers, committee chairs, and committee ranking members – to file a year-end certification letter with the Committee stating that the employing authority's House employees who were on the payroll as of December 31 of the training year fulfilled the annual training requirement. The Committee has eliminated the employing authority certification requirement for 2009 to alleviate the burdens of duplicative filings and to streamline the year-end certification process. The revised certification process will enable the Committee to ensure compliance with the annual training requirements more efficiently and effectively. The pink sheet and additional information about the annual training requirement are available on the Committee's Web site.

The Committee continued to fulfill its investigatory responsibilities, and publicly announced action with respect to one investigation.

Rep. Fortney "Pete" Stark. On December 24, 2009, the Chair and Ranking Republican Member of the Committee announced that they have jointly decided to extend a matter regarding Representative Pete Stark, which was transmitted to the Committee by the Office of Congressional Ethics, for a 45-day period pursuant to House Rule XI, Clause 3(a)(8)(A), and Committee Rules 17A(b)(1) and 17A(c)(1). The Office of Congressional Ethics forwarded a matter regarding Representative Stark to the Committee on November 12, 2009. The Committee will announce its course of action in this matter on or before February 10, 2010.

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United States House of Representatives  
Committee on Standards of Official Conduct  
Fund Balance Statement as of 12/31/2009

All BOC codes

Resolution: 279

Report Done: 1/12/2010

Whole Committee - Funding Limit

Less Expenses

Total Authorization      \$2,735,247.00

Year	Month	
2009	January	\$133,847.97
2009	February	\$145,514.71
2009	March	\$166,502.65
2009	April	\$166,458.23
2009	May	\$159,343.73
2009	June	\$163,857.19
2009	July	\$169,680.38
2009	August	\$180,341.03
2009	September	\$219,977.45
2009	October	\$229,272.81
2009	November	\$248,570.87
2009	December	\$236,976.28
Total Committee Expenses to Date		\$2,220,343.30
Unexpended Authorization		\$514,903.70

# Committee on Standards of Official Conduct

H. Res. Resolution: 279B

Statement of expenses from 12/1/2009 to 12/31/2009

Whole Committee - Funding Limit

Report Done: Tuesday, January 12, 2010

<b>Category</b>	<b>December 2009</b>	<b>Cumulative For Funding Resolution: 279B</b>	<b>12/31/2009</b>
Staff Salaries	\$0.00	\$0.00	\$0.00
Overtime	\$0.00	\$0.00	\$0.00
Consultant Contracts	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Rent, Communications and Utilities	\$0.00	\$0.00	\$0.00
Printing and Reproduction	\$0.00	\$0.00	\$0.00
Miscellaneous Other Services	\$0.00	\$0.00	\$0.00
Specialized Training	\$0.00	\$0.00	\$0.00
Training Expenses	\$0.00	\$0.00	\$0.00
Representational Funds	\$0.00	\$0.00	\$0.00
Supplies and Materials	\$0.00	\$0.00	\$0.00
<b>Grand Total</b>	<b>\$0.00</b>		

United States House of Representatives  
Committee on Standards of Official Conduct  
Fund Balance Statement as of 12/31/2009

All BOC codes

Resolution: 279F

Report Done: 1/12/2010

Whole Committee - Funding Limit

Less Expenses

Total Authorization \$5,000.00

Year	Month	
2009	January	\$143.81
2009	February	\$86.36
2009	March	\$54.01
2009	April	\$23.88
2009	May	\$79.97
2009	June	\$22.08
2009	July	\$79.25
2009	August	\$32.56
2009	September	\$148.54
2009	October	\$43.48
2009	November	\$30.95
2009	December	\$18.59
Total Committee Expenses to Date		\$763.48
Unexpended Authorization		\$4,236.52

# Committee on Standards of Official Conduct

H. Res. Resolution: 279F

Statement of expenses from 12/1/2009 to 12/31/2009

Whole Committee - Funding Limit

Report Done: Tuesday, January 12, 2010

<b>Category</b>	<b>December 2009</b>	<b>Cumulative For Funding Resolution: 279F</b>	<b>12/31/2009</b>
Staff Salaries	\$0.00	\$0.00	\$0.00
Overtime	\$0.00	\$0.00	\$0.00
Consultant Contracts	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Rent, Communications and Utilities	\$18.59	\$677.12	\$677.12
Printing and Reproduction	\$0.00	\$0.00	\$0.00
Miscellaneous Other Services	\$0.00	\$0.00	\$0.00
Specialized Training	\$0.00	\$0.00	\$0.00
Training Expenses	\$0.00	\$0.00	\$0.00
Representational Funds	\$0.00	\$0.00	\$0.00
Supplies and Materials	\$0.00	\$86.36	\$86.36
<b>Grand Total</b>	<b>\$18.59</b>	<b>\$763.48</b>	<b>\$763.48</b>

**COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT**

**December 2009**

**STANDING COMMITTEE STAFF**

<b><u>NAMES</u></b>	<b><u>ADDRESSES</u></b>	<b><u>DUTIES</u></b>	<b><u>MONTHLY SALARIES</u></b>
Marc Borodin	Fairfax, VA	Counsel	12,227.77
Blake Chisam	Arlington, VA	Chief Counsel/Staff Director	14,375.00
Sheria Clarke	Woodbridge, VA	Counsel	5,833.33
Frank Davies	McLean, VA	Senior Investigator	8,500.00
Carol Dixon	Washington, DC	Counsel	12,482.32
Samuel Harvey	Washington, DC	Staff Assistant	1,715.28
Donna Hayes	Hyattsville, MD	Staff Assistant	2,753.63
John V. Jesaitis	Washington, DC	Committee Investigative Staff	5,000.00
Amelia Johnson	Arlington, VA	Investigative Clerk	2,916.67
Peter L. Johnson	Alexandria, VA	System & Financial Disclosure Admin.	7,063.97
Morgan Kim	Arlington, VA	Deputy Chief Counsel	13,570.67
Deborah M. Morris	Alexandria, VA	Counsel	11,833.33
Susan Olson	Arlington, VA	Counsel	12,482.32
Deborah Peay	Washington, DC	Senior Financial Disclosure Manager	9,589.30
Margaret Perl	Falls Church, VA	Counsel	10,640.00

<u>NAMES</u>	<u>ADDRESSES</u>	<u>DUTIES</u>	<u>SALARIES</u>
Thomas A. Rust	Arlington, VA	Counsel	8,333.33
Donald Sherman	Washington, DC	Counsel	6,250.00
Stan Simpson	Takoma Park, MD	Director of Financial Disclosure Review	11,172.00
Tonia Smith	Baltimore, MD	Counsel	11,172.00
Stacey Sovereign	McLean, VA	Counsel	9,833.33
Clifford Stoddard, Jr.	Clinton, MD	Counsel	8,333.33
Daniel Taylor	Arlington, VA	Counsel to the Chair	11,833.33
Todd Ungerecht	Herndon, VA	Counsel to the Ranking Republican Member	11,833.33
Joanne White	Ft. Wash., MD	Administrative Staff Director	9,652.87
 <u>Overtime</u>			
Amelia Johnson	\$176.68		

# Committee on Standards of Official Conduct

H. Res. Resolution: 279

Statement of expenses from 12/1/2009 to 12/31/2009

Whole Committee - Funding Limit

Report Done: Friday, January 15, 2010

<b>Category</b>	<b>December 2009</b>	<b>Cumulative For Funding Resolution: 279 12/31/2009</b>
Staff Salaries	\$220,390.16	\$2,063,052.62
Overtime	\$176.68	\$402.77
Transit Benefits	\$0.00	\$2,028.61
Consultant Contracts	\$0.00	\$0.00
Equipment	\$495.00	\$32,404.40
Travel	\$24.00	\$576.72
Rent, Communications and Utilities	\$3,557.36	\$24,503.67
Printing and Reproduction	\$31.90	\$2,190.60
Miscellaneous Other Services	\$6,654.89	\$38,594.50
Specialized Training	\$0.00	\$0.00
Training Expenses	\$0.00	\$3,910.00
Representational Funds	\$0.00	\$0.00
Supplies and Materials	\$5,646.29	\$52,679.41
<b>Grand Total</b>	<b>\$236,976.28</b>	<b>\$2,220,343.30</b>